



Dowgate MTF – Participant Notice

Notice: 2018_02

Notification

Subject : Confirmation of amendments to the Rulebook & Participant Agreement

Effective Date : 25 June 2018

Notification Reference : 2018_02

Notification Summary

This Participant Notice details a number of minor changes to the Dowgate MTF Rulebook and Participation Agreement which will be effective as of Monday 25 June 2018. The changes have been made to clarify the eligibility criteria and ease certain responsibilities on Participants.

Notification Details

Rulebook

Section	Amendment
Rule 3 - Eligibility Criteria	<ul style="list-style-type: none">Amendment to the Eligibility Criteria to exempt Participants in Dowgate EM from having to be a Recognised Primary Dealer.
Rule 6.5.5 – Participant Undertakings	<ul style="list-style-type: none">Removal of Participant generic obligation to make notification to the Operator of the MTF of “any other matter of which Dowgate MTF might reasonably expect to be made aware”.
Rule 23 – Market Abuse	<ul style="list-style-type: none">Amendment to Rule to clarify that the Operator will monitor activity on the MTF in accordance with its regulator obligations.

Participation Agreement

Section	Amendment
Clause 18 – Indemnity	<ul style="list-style-type: none">Amendment to Clause 18 (Indemnity) to provide Participants with greater protection.
